

**Annexure- B**  
**Complaint Data for Investment Advisory**

**Data for the month ending – December 31, 2021**

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	N.A.	Nil	Nil	-
2	SEBI (SCORES)	Nil	Nil	N.A.	Nil	Nil	-
3	Other Sources (if any)	Nil	Nil	N.A.	Nil	Nil	-
	<b>Grand Total</b>						

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints**

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April, 2021	Nil	Nil	Nil	Nil
2	May, 2021	Nil	Nil	Nil	Nil
3	June, 2021	Nil	Nil	Nil	Nil
4	July, 2021	Nil	Nil	Nil	Nil
5	August, 2021	Nil	Nil	Nil	Nil
6	September, 2021	Nil	Nil	Nil	Nil

7	October,2021	Nil	Nil	Nil	Nil
8	November, 2021	Nil	Nil	Nil	Nil
9	December, 2021	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

### **Trend of annual disposal of complaints**

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received</b>	<b>Resolved**</b>	<b>Pending##</b>
1	2018-19	Nil	Nil	Nil	Nil
2	2019-20	Nil	Nil	Nil	Nil
3	2020-21	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

\*\* Inclusive of complaints of previous years resolved in the current year.

## Inclusive of complaints pending as on the last day of the year.